Onspring
→ PEOPLE-POWERED AUTOMATION®

ASCENT

Monitor Financial Regulations in Onspring with Knowledge from Ascent

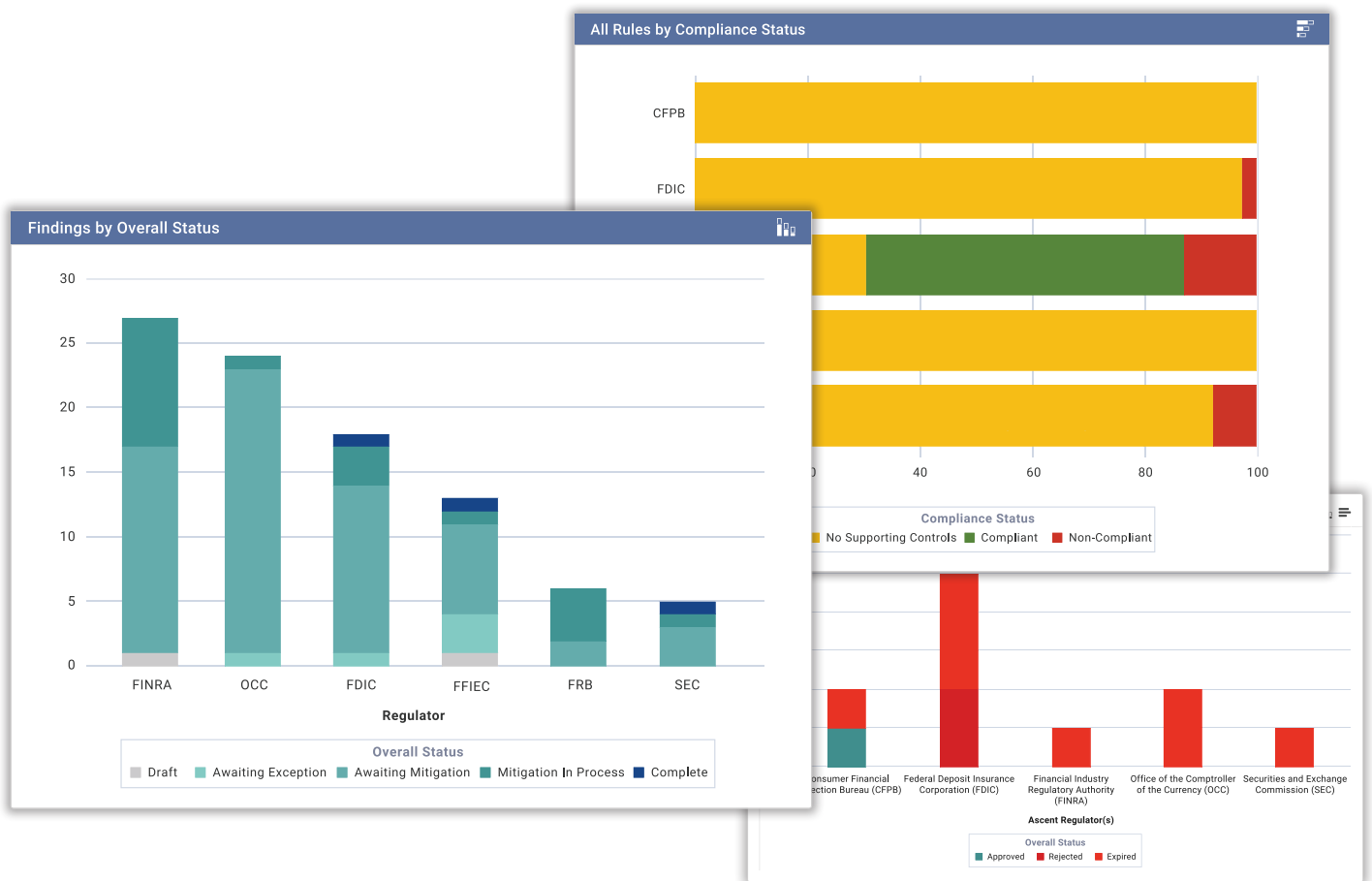Consolidate ongoing financial regulatory updates into your compliance program

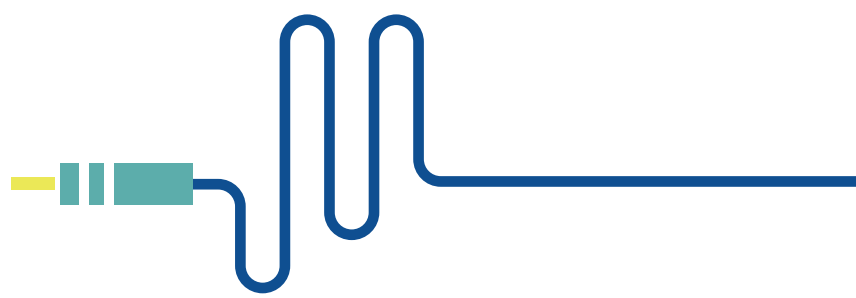# Regulatory Change Integrated Into One System

Ascent delivers regulatory updates. Onspring activates that information throughout your compliance management workflows.

Is your team able to analyze voluminous, financial regulatory text to determine the relevant obligations for your organization? Can you keep up with constant rule changes and how they impact your operations?
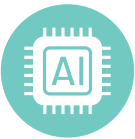
When enterprises are able to integrate up-to-date financial regulatory change knowledge from Ascent into Onspring, compliance teams get one consolidated view of data to action in real-time.

All Rules by Compliance Status

Compliance Status
- No Supporting Controls
- Compliant
- Non-Compliant

Findings by Overall Status

Regulator (FINRA, OCC, FDIC, FFIEC, FRB, SEC)

Overall Status
- Draft
- Awaiting Exception
- Awaiting Mitigation
- Mitigation In Process
- Complete

Ascent Regulator(s) (Consumer Financial Protection Bureau (CFPB), Federal Deposit Insurance Corporation (FDIC), Financial Industry Regulatory Authority (FINRA), Office of the Comptroller of the Currency (OCC), Securities and Exchange Commission (SEC))

Overall Status
- Approved
- Rejected
- Expired

WHY INTEGRATE ASCENT?

Ascent is a regulatory knowledge platform that streamlines the most tedious and error-prone parts of compliance. By merging AI-driven technology with human expertise, Ascent helps regulated industries—specifically financial services—effectively manage regulatory change and reduce their risk, at a fraction of the time and cost.

RegulationAI™:
Ascent's proprietary technology breaks down regulatory text line-by-line to pinpoint obligations relevant to your business with proven 99.5% accuracy.

Touchless Updates:
As rules change, your obligations are updated automatically and linked to the specific rule it came from. *Full traceability without laborious time or cost.*

Rapid Deployment
Ascent is ready for your use in Onspring.

Easy to Scale
Whether your business complies with a couple regulators or hundreds, Ascent streamlines the process into Onspring.

Integrating this always-current knowledge into Onspring not only gives you a real-time, holistic view of financial regulatory obligations but also shifts your focus to developing compliance strategies that make your business more competitive—rather than being stymied with regulatory worry.

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Automate Financial Regulatory Change Management

Populate your Onspring GRC workflows with targeted requirements and citations from Ascent. Map those requirements to your controls, policies and procedures.

Obligations Register

A centralized, organized register of your relevant regulatory obligations that is always current with Ascent regulatory knowledge.

Auto-impact Assessment

Relevant rule updates are surfaced automatically. Any changes to your existing obligations are marked for your review.

Horizon Scanning Feed

A continuous feed of regulatory documents. Download, share, and mark important documents for review.

Rule Inventory

A living library of all laws, rules, and regulations. Find information quickly with search and filtering.

Rule Compare

A side-by-side comparison of the new and former versions of a rule with changes redlined.

Evidence Tracking

Easily show proof of compliance to auditors or to your BOD. All activity can be tracked and recorded per industry standards.
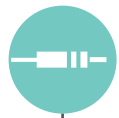
Regulatory Change Management

Connect to Onspring to initiate impact assessments based on rule changes, track findings, and report on continued compliance.

Workflows, Assignments & Schedules

Work your rule changes in Onspring as bite-sized, actionable tasks. Assign teammates, add notes, and track deadlines.

Compliance Audits

Catch issues sooner when you review compliance outputs in real-time. Spot gaps and take action before major problems arise.

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Get Started with Ascent in Onspring

Before you get started, you'll want to confirm that your Ascent subscription is active and that your organization has the Onspring Regulatory Compliance Solution.

Activate
Connect Ascent data to your Onspring instance and any pre-configured applications to get you up and running.

Inform
The knowledge from your financial regulations with Ascent fuels direct insights into your obligations.

Analyze
Ascent updates your precise, granular obligations automatically every time a rule changes, for your immediate review.

Activate
Automate triggered notifications to internal teams and third parties that activate workflows based on Ascent information.

Report
Continue to maintain and send accurate, up-to-date reporting to executives, to your board and to owners through Onspring.

For questions on getting started, contact us at **hello@onspring.com**