

Onspring

→ PEOPLE-POWERED AUTOMATION®

Regulatory Change Management

Intelligent Automation & Connected Data Platform



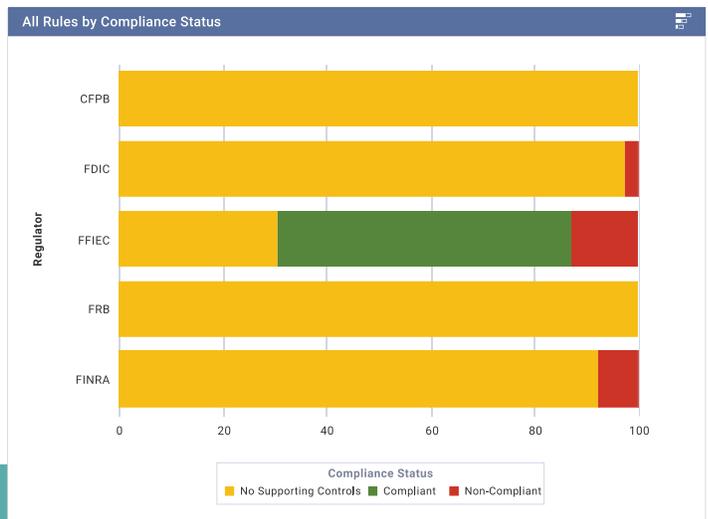
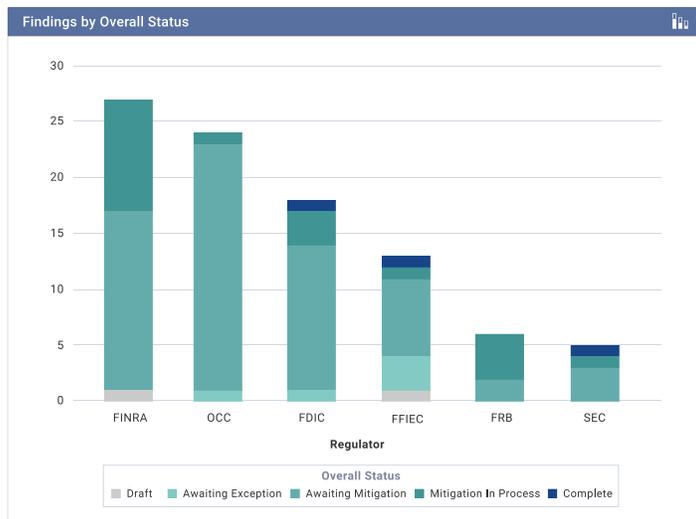
Why choose Onspring for Regulatory Change Management (RCM)?

When you consolidate and automate regulatory change activities, you simply get better visibility & control. With voluminous rates of regulatory change and ever-growing complexities facing organizations, compliance managers have to figure out a way to manage risk *and* resources.

Rather than relying on manual spreadsheets, email silos and SharePoint, our RCM solution

- Ingests, organizes & maps rules and relevant obligations from regulators & content providers to the rest of your business
- Conducts impact assessments from rule changes
- Assigns ownership tasks for updates to relevant controls, policies & procedures
- Ensures updates are implemented through monitoring & reporting

By implementing Onspring's regulatory change management solution, you can instill a structured, integrated approach to stay ahead of regulatory change, saving you measurable time and effort. Plus, you can implement and customize your Onspring instance in perpetuity—on your own—without the need of IT or dev resources.



Real-time visibility into regulatory changes and current rules as they occur to identify control gaps and measure effectiveness of correction plans.

Control ID	Control Name	Owner Name	Control Status	Validation Status	Compliance Status
PCI 1.2	Firewall and router configurations	Brad Schneider	Active	⚠️ Due for Validation	✅ Effective
P2.4	Purpose for Use of Data	Monica Barlow	Active	❌ No Validations Performed	✅ Effective

Conduct impact assessments, manage findings & exceptions, track approvals and advance corrective action plans with personalized dashboards.

Key Benefits for Regulatory Change Management

Onspring manages your regulatory rule changes while simultaneously automating compliance workflows, impact assessments, approvals, and reporting, which allows you to share real-time compliance monitoring on regulatory changes and corrective tasks.

- Centralized regulatory content monitored for changes that are applicable to your specific rules and flagged in real time
- A streamlined rules register that maps to all regulators, controls, policies, procedures and risks
- Multi-level, dynamic review & approval workflows for regulatory change management activities
- Integration with regulatory content providers in one centralized system
- One-click, formatted regulatory compliance reports to executives or stakeholders

The Onspring Difference

- ⚡ Omni-data Connectivity
- ⚡ Made to Scale Up & Out
- ⚡ No-code, Self-sustaining Administration

People-powered Platform

Onspring is an autonomous solution. As a cloud-based, no-code platform, Onspring is ready to go out-of-the-box **and** grows with your needs, adapting to methodologies, maturations, roles and regulations. You can independently run, add and update our applications on your own to suit your particular audit, risk and compliance teams.



Platform Features



Live reporting

Real-time data aggregation in tables, graphs & maps that drill directly into details



Executive Dashboards

Deliver insights & communicate activities in a role-based command center



Data References

Relate data points to connect regulatory changes to impacted rules, controls & policies



Formulas

Calculate compliance violation values from multiple data records to measure real-time risk posture



Surveys

Send impact assessments, attestations & questionnaires on a schedule or ad hoc



Access Control

Set permissions by user to create, read-only, edit & delete



Task Management

Assign individual tasks & track completion by team member, due date, budget & priority



Integrations

Consolidate third-party regulatory content subscriptions & your favorite tech



Ready-made

Start immediately with out-of-the-box workflows & reports; just add your data



Make it Your Own

Edit & create new workflows & reports on your own without IT or dev resources



End-to-end Management

Simplify RCM tasks across all regulators and teams, from rules to documenting controls to final reports



Connection

Integrate RCM with Risk, IT, InfoSec & Audit for efficiencies & company-wide accountability

Real ROI

70%

increase in
employee efficiencies

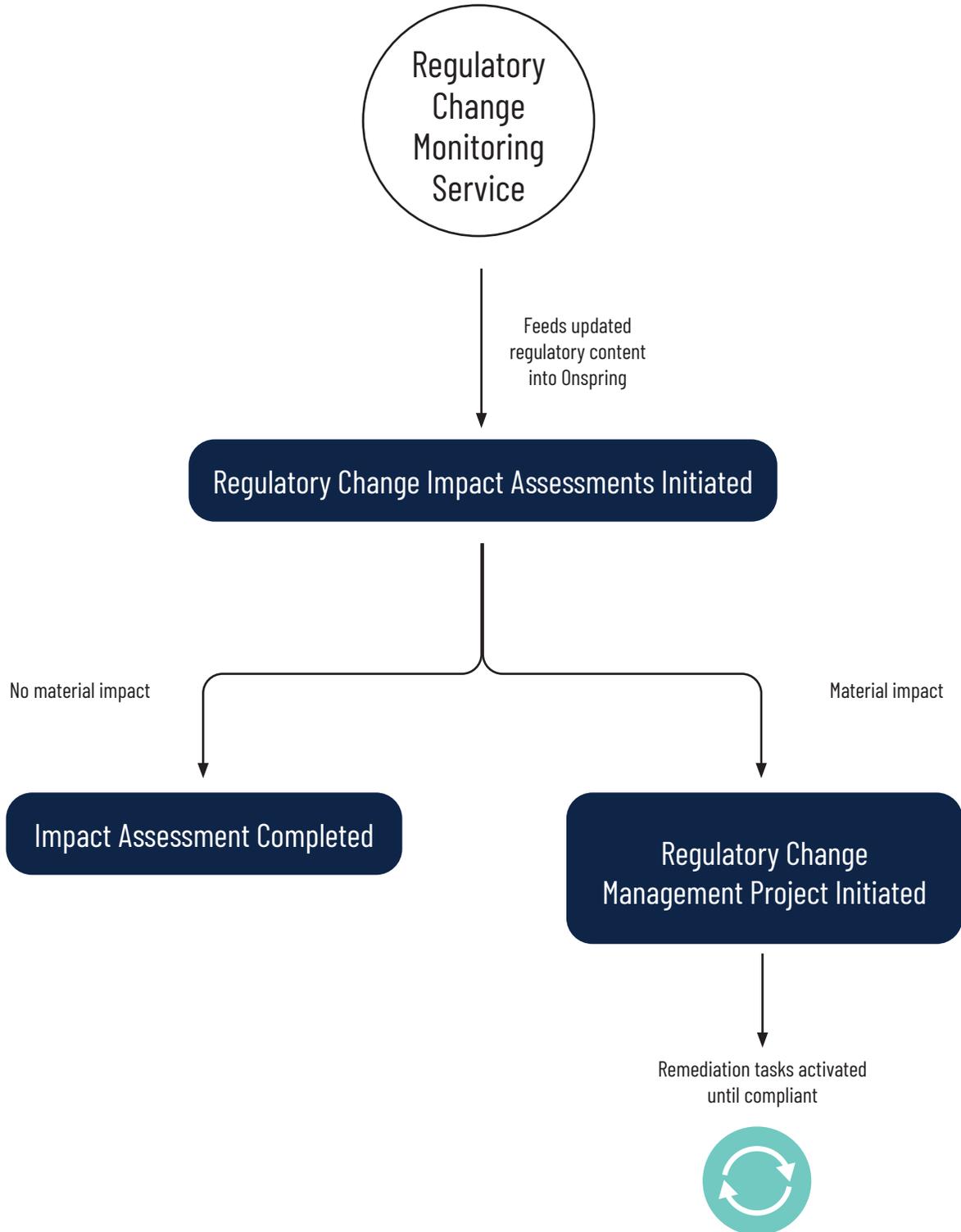
50%

reduction in
redundant tasks

0 min.

wait to update
workflows & reports

One Connected System for All Requirements & Regulations



Onspring Customer Success

"Onspring is the best. I submitted the documents to the SEC today. The app you created really made it easier. I was able to quickly make a file index for everything we sent in each batch. It was a beautiful thing. We will be moving to phase two now, and I can actually acknowledge something outside the scope of the exam."

— VARDE

"Onspring was a compliance gamechanger. We could put everything in one place and rationalize that this control meets the requirements of clients A, B and C. Simultaneously, we could show that the control also meets this ISO 27001 requirement and is included in our SSAE 16 report. Onspring gives us a place where we can test once but report in several different ways."

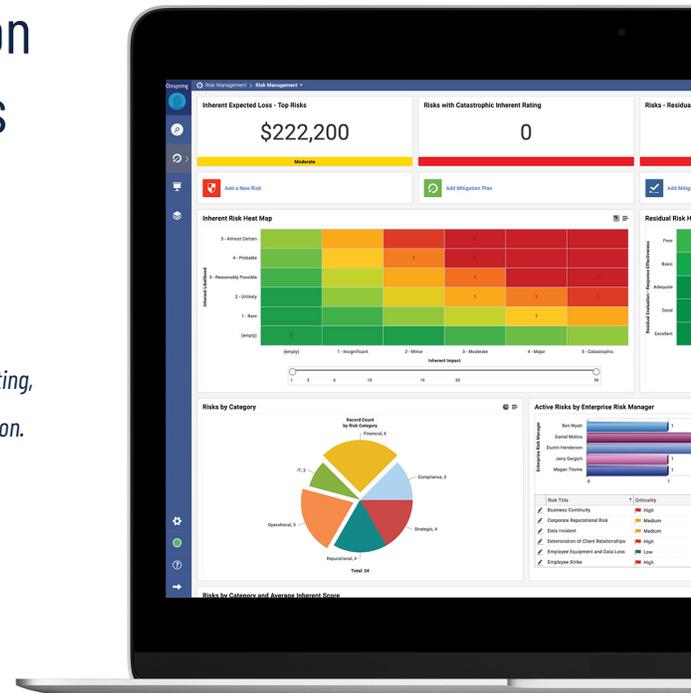
— BENEVITY



Onspring is no-code process automation software that connects data and teams to improve business intelligence, governance, alignment & resilience.

When people are empowered to create their own workflows, processes, requirements, and reporting, teams are more productive and business runs better. More control. More visibility. More connection. Better decisions.

Onspring is the GRC automation software that hundreds of enterprises are built upon. And while our technology continually evolves to advance business innovation, our approach is always human-centered and people first.



Onspring™

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